



2828/8
PATENTS
NOVA-014

ROT-1
18/1/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yu-Hwa Lo et al.
Application No.: 09/871,229 ✓ Confirmation No.: 1700
Filed : May 31, 2001
For : SURFACE-EMITTING LASER DEVICES WITH
INTEGRATED BEAM-SHAPING OPTICS AND POWER-
MONITORING DETECTORS
Group Art Unit : 2828
Examiner : Armando Rodriguez

Hon. Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

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TRANSMITTAL LETTER

Sir:

Transmitted herewith: [] a Preliminary Amendment;
[X] a Reply to Office Action; [] a Declaration; [] a
Supplemental Declaration; [] formal drawings; to be filed in
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 9 =	\$
INDEPENDENT CLAIMS	-	** =	X \$ 42 =	\$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$140 =	\$

* If less than 20, insert 20.

** If less than 3, insert 3.

TOTAL \$

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE


[X] The following extension is applicable to the Response filed herewith; [X] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$205.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$465.00 extension fee for response within third month pursuant to

37 C.F.R. § 1.136(a); [] \$725.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); [] \$985.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).


[X] A check in the amount of [X] \$55.00; [] \$205.00; [] \$465.00; [] \$725.00; [] \$985.00; in payment of the extension fee is transmitted herewith.

[X] The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge the [] \$55.00; [] \$205.00; [] \$465.00; [] \$725.00; [] \$985.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.


Douglas A. Oguss
Registration No. 48,469
Agent for Applicants

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to : HCN, COMMISSIONER FOR PATENTS, P.O. BOX 1450, Alexandria, VA 22313-1450 on:

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